

Product Analysis. To 100 ml of 10^{-4} M HClO_4 was added 8.61 g of **1** at room temperature. After 2 hr the solution was extracted with five 50-ml portions of ether. The ether was removed, leaving 6.76 g of residual liquid. Analysis of the residue by glc showed one major component (95%), water, methanol, and a minor component (1.5%) judged to be the dimethyl acetal of **2** by comparative retention times.

The major component was identified as 2-ethyl-2-hydroxybutanal: bp $59\text{--}60^\circ$ (26 mm); $\delta_{\text{TMS}}^{\text{CDCl}_3}$ 0.8 (t, $J = 7$ Hz, 6 H), 1.8 (m, 4 H), 4.2 (br s, 1 H, OH), 9.51 (s, 1 H, CHO); ν^{neat} 3450, 2720 (sh), and 1720 cm^{-1} ; mass spectrum 116 (parent), 45 (base).

Anal. Calcd for $\text{C}_6\text{H}_{12}\text{O}_2$: C, 62.02; H, 10.43. Found: C, 61.84, 61.99; H, 10.30, 10.36.

Kinetic Procedure. All kinetic measurements were carried out by monitoring the formation of aldehyde product at 290 nm (ϵ 21) or ketone product at 316 nm. The solvent used throughout was 10% dioxane-water (v/v) with μ maintained at 0.11 by NaClO_4 except as noted below. Buffers employed were perchloric acid (pH 3.41–4.81), formic acid (pH 3.86–4.75), acetic acid (pH 4.09–6.12), cacodylic acid (pH 5.65–7.07), and triethanolamine (pH 6.96–7.61). A 0.5 M stock solution of DOAc in D_2O (99.8%), prepared according to Goodall and Long,³⁵ was used to make up acetate buffers in 10% dioxane- D_2O (v/v). The pH meter readings were converted to pD values by adding 0.4.³⁶ A Radiometer Model 4 pH meter was used to determine the pH values of buffer solutions.

Reactions were started by adding 14 μl of **1** or 1.5 μl of **4** to 3 ml of a buffer solution at 25.0° in a 1-cm silica cell, shaking vigorously

- (35) D. M. Goodall and F. A. Long, *J. Amer. Chem. Soc.*, **90**, 238 (1968).
 (36) P. K. Glasoe and F. A. Long, *J. Phys. Chem.*, **64**, 188 (1960).

and replacing in the thermostated ($25.0 \pm 0.1^\circ$) cell compartment of a Beckman DU-2 spectrophotometer. The reactions were followed to 60–80% of completion; infinity readings were taken after 8–12 half-lives. Pseudo-first-order rate constants, k_{obsd} , were calculated by means of a computer program which utilizes a variable infinity point.³⁷ Swinbourne's method³⁸ was used as a check; satisfactory agreement between the two methods was realized in all cases.

The first-order rate constant in a solution containing an HA-A^- acid-base pair was taken as

$$k_{\text{obsd}} = k_{\text{H}_2\text{O}} + k_{\text{HAH}} + k_{\text{OH}a\text{OH}} + k_{\text{HA}}[\text{HA}] + k_{\text{A}}[\text{A}^-]$$

The rate constants k_{H} and $k_{\text{H}_2\text{O}}$ were obtained from the least-squares slope and intercept, respectively, of plots of $\log k_{\text{obsd}}$ vs. $[\text{HClO}_4]$. Plots of k_{obsd} vs. $[\text{HA}]$ at three different pH values give slopes from which may be extracted k_{HA} and k_{A} and intercepts which yield k_{OH} . Linear least-squares analysis was applied throughout.

Activation parameters were obtained from plots of $\log k_{\text{H}}$ or $\log k_{\text{H}_2\text{O}}$ vs. $1/T$. The temperatures, 15.0, 25.0, 30.0, and 35.0° , could be controlled to $\pm 0.1^\circ$.

Acknowledgments. We gratefully acknowledge the support of the National Science Foundation through Grants GP-7392 and GP-14693. Computer time was donated by the University of Hawaii Computing Center. Sherwin Amimoto assisted with the computer program.

(37) The program is a least-squares modification of one originally given by K. B. Wiberg, "Computer Programming for Chemists," W. A. Benjamin, New York, N. Y., 1965, Chapter 9.

(38) E. S. Swinbourne, *J. Chem. Soc.*, 2371 (1960).

Kinetics and Mechanism of Epoxy Ether Hydrolysis.

II. Mechanism of Ring Cleavage¹

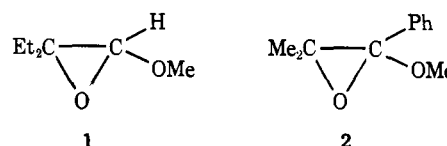
Arthur L. Mori² and Larry L. Schaleger*

Contribution from the Department of Chemistry, University of Hawaii, Honolulu, Hawaii 96822. Received November 29, 1971

Abstract: The kinetics of ring cleavage of two epoxy ethers, 1-methoxy-2-ethyl-1,2-epoxybutane (**1**) and 1-methoxy-1-phenyl-2-methyl-1,2-epoxypropane (**2**), have been studied in 10% aqueous dioxane (v/v) at 25° and pH 8–13. Both substrates hydrolyze *via* pH-independent and H_3O^+ -catalyzed reactions. General acid catalysis by H_2PO_4^- is observed in the hydrolysis of **2**. Solvent deuterium isotope effects, $k_{\text{D}}/k_{\text{H}}$, for catalysis by L_3O^+ are 2.02 for **1** and 1.35 for **2**. Entropies of activation are -11 eu for **1** and -15 eu for **2**. Compound **2** reacts 10.2 times slower than **1**. These results suggest that proton transfer to ring oxygen is concerted with C–O bond breaking in relatively reactant-like transition states. The pH-independent cleavage of **1** has $k_{\text{D}_2\text{O}}/k_{\text{H}_2\text{O}} = 0.53$ and $\Delta S^\ddagger = -49$ eu. Catalysis by hydroxide ion is also observed although $k_{\text{OH}}/k_{\text{H}_2\text{O}}$ is only 11 M^{-1} . The corresponding parameters for **2** are $k_{\text{OH}}/k_{\text{H}_2\text{O}} = 0.35$ and $\Delta S^\ddagger = -36$ eu. A bimolecular mechanism is proposed in which nucleophilic attack is concerted with proton transfer.

The hydrolysis of 1-methoxy-2-ethyl-1,2-epoxybutane (**1**) undergoes a change of mechanism in 10% aqueous dioxane (v/v) at 25° at a pH of ~ 8.0 . The low pH reaction has been characterized³ as the hydrolysis of the hemiacetal intermediate, $\text{Et}_2\text{C}(\text{OH})\text{-CH}(\text{OCH}_3)\text{OH}$. We turn now to the high pH reaction which involves rate-determining cleavage of the epoxide ring. The kinetics of the ring opening of 1-phenyl-2-methyl-1,2-epoxypropane (**2**) are also reported.

Epoxy ether **1** is an acetal; **2** is a ketal. Simple acetals and ketals generally hydrolyze *via* an A1



mechanism, *i.e.*, equilibrium protonation of oxygen followed by rate-determining dissociation of ROH to give a carboxonium ion.⁴ However, recent work has demonstrated that the normal A1 mechanism can be modified by appropriate structural alteration.^{5–9} The

(1) Based in part on the Ph.D. Thesis of A. L. Mori, University of Hawaii, 1971.

(2) NSF Academic Year Participant, 1967–1968; NSF Trainee, 1968–1971.

(3) A. L. Mori, M. A. Porzio, and L. L. Schaleger, *J. Amer. Chem. Soc.*, **94**, 5034 (1972).

(4) E. H. Cordes, *Progr. Phys. Org. Chem.*, **4**, 1 (1967).

(5) H. G. Bull, K. Koehler, T. C. Fletcher, J. J. Ortiz, and E. H. Cordes, *J. Amer. Chem. Soc.*, **93**, 3002 (1971).

(6) E. Anderson and T. H. Fife, *ibid.*, **91**, 7163 (1969).

(7) T. H. Fife and L. K. Jao, *ibid.*, **90**, 4081 (1968).

(8) R. H. DeWolfe, K. M. Ivanetich, and N. F. Perry, *J. Org. Chem.*, **34**, 848 (1969).

(9) E. Anderson and B. Capon, *J. Chem. Soc. B*, 1033 (1969).

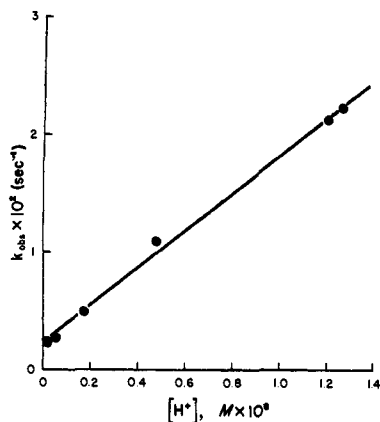
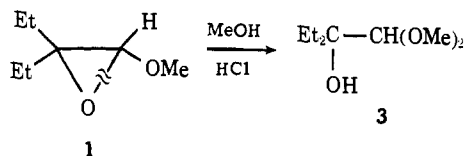


Figure 1. Plot of k_{obsd} vs. a_{H} , the activity of hydrogen ion, for the hydrolysis of 1-methoxy-2-ethyl-1,2-epoxybutane (**1**) at 25° in 10% aqueous dioxane and pH 8.2-10.7.

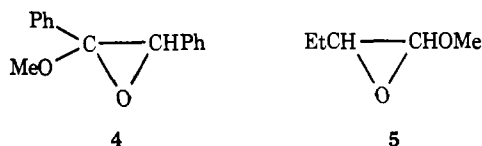
major factors involved appear to be ease of C-O bond breaking, substrate basicity, and relative carboxonium ion stability. The incorporation of one oxygen of an acetal into a three-membered ring was expected to affect all three factors, and the relationship of this structural change to mechanisms of hydrolysis forms the subject of this paper.

Results

The product of hydrolysis of **1** at pH 10, as at pH 4, is 2-ethyl-2-hydroxybutanal. The direction of ring opening was determined to involve C(1)-O cleavage by the observation that the product of methanolysis is the dimethyl 2-hydroxyacetal (**3**). Analogous results have



been obtained previously for the opening of the epoxides **2**,¹⁰ **4**,^{11,12} and **5**¹³ in neutral and/or basic



methanol as well as in acidic alcohol solutions. Breaking of the C(1)-O bond appears, therefore, to be the exclusive mode of ring cleavage in the epoxy ether series, irrespective of substitution.

Pseudo-first-order rate constants for the hydrolysis of **1** and **2** at pH 8.2-10.7 in triethanolamine, methyl-diethanolamine, and 2,2,6,6-tetramethyl-4-piperidinol buffers are given by eq 1, where $\log a_{\text{H}} = -\text{pH}$. Plots

$$k_{\text{obsd}} = k_{\text{H}}a_{\text{H}} + k_{\text{H}_2\text{O}} \quad (1)$$

of k_{obsd} vs. a_{H} , as shown in Figure 1, yield slopes, k_{H} , and intercepts, $k_{\text{H}_2\text{O}}$. Neither epoxy ether showed

(10) T. I. Temnikova and N. I. Almashi, *Zh. Obshch. Khim.*, **23**, 1338 (1953); *Chem. Abstr.*, **48**, 12025b (1954).

(11) T. I. Temnikova and E. N. Kropacheva, *ibid.*, **22**, 1150 (1952); *Chem. Abstr.*, **47**, 6901g (1953).

(12) C. L. Stevens, M. L. Weiner, and R. C. Freeman, *J. Amer. Chem. Soc.*, **75**, 3977 (1953).

(13) C. L. Stevens, E. Farkas, and B. Gillis, *ibid.*, **76**, 2695 (1954).

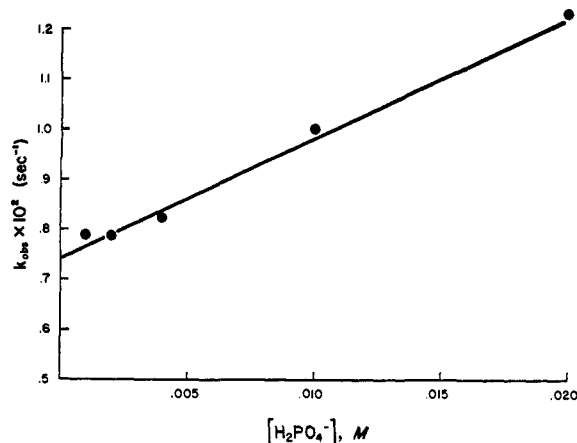


Figure 2. Plot of k_{obsd} vs. concentration of dihydrogen phosphate ion for hydrolysis of 1-methoxy-1-phenyl-2-methyl-1,2-epoxypropane (**2**) at 25° in 10% aqueous dioxane. The nonzero slope is evidence of buffer catalysis.

detectable buffer catalysis with these buffers. However, **2** was subject to catalysis by H_2PO_4^- as shown in Figure 2.

Solvent deuterium isotope effects on the cleavage of **1** are $k_{\text{D}}/k_{\text{H}} = 2.02 \pm 0.11$ and $k_{\text{D}_2\text{O}}/k_{\text{H}_2\text{O}} = 0.53 \pm 0.04$. Corresponding values for **2** are $k_{\text{D}}/k_{\text{H}} = 1.35 \pm 0.06$ and $k_{\text{D}_2\text{O}}/k_{\text{H}_2\text{O}} = 0.35 \pm 0.02$. Changing the solvent from 10 to 50% aqueous dioxane (v/v) was found to slow down the pH-independent hydrolysis of **1** by a factor of 14. These rate data are collected in Table I.

Table I. Rate Constants for Epoxy Ether Hydrolysis at 25° and $\mu = 0.11$ (with NaClO_4)

% dioxane (v/v)	Buffers ^a	Catalyst	k^b (errors ^c)
1-Methoxy-2-ethyl-1,2-epoxybutane (1)			
10	A, B, C	H_3O^+	$1.61 (\pm 0.03) \times 10^6$
10	A, B, C	H_2O	$2.15 (\pm 0.07) \times 10^{-3}$
10	C-0.06 M NaI	H_2O	$2.23 (\pm 0.04) \times 10^{-3}$
10	D	D_3O^+	$3.25 (\pm 0.17) \times 10^6$
10	D, E, F	D_2O	$1.14 (\pm 0.06) \times 10^{-3}$
50	G	H_2O	$1.51 (\pm 0.04) \times 10^{-4}$
1-Methoxy-1-phenyl-2-methyl-1,2-epoxypropane (2)			
10	A, B, C	H_3O^+	$1.57 (\pm 0.06) \times 10^5$
10	A, B, C	H_2O	$1.07 (\pm 0.05) \times 10^{-5}$
10	H	H_2PO_4^-	$2.38 (\pm 0.18) \times 10^{-1}$
10	F	D_3O^+	$2.12 (\pm 0.04) \times 10^5$
10	F	D_2O	$3.79 (\pm 0.09) \times 10^{-6}$

^a A, triethanolamine, pH 7.92; B, methyl-diethanolamine, pH 7.90-8.77; C, 2,2,6,6-tetramethyl-4-piperidinol, pH 9.26-10.70; D, triethanolamine- d_3 , pD 8.22-8.98; E, methyl-diethanolamine- d_2 , pD 8.50; F, 2,2,6,6-tetramethyl-4-piperidinol- d_3 , pD 10.52-11.14; G, 2,2,6,6-tetramethyl-4-piperidinol, pH 9.79; H, phosphate, pH 7.32. ^b Units, $M^{-1} \text{sec}^{-1}$ (H_3O^+ , D_3O^+ , and H_2PO_4^- reactions) or sec^{-1} (H_2O and D_2O reactions). ^c These are probable errors obtained by least-squares analysis of eq 1.

Activation parameters for the cleavage of **1** and **2**, given in Table II, were obtained by linear least-squares regression analysis of $\log k$ vs. $1/T$ data for runs at 25, 35, and 45°. These Arrhenius plots are shown in Figure 3.

The hydrolysis kinetics of **1** were also investigated in 0.010-0.174 M NaOH solutions. A specific hydroxide ion catalyzed reaction became apparent at about 0.05

Table II. Activation Parameters for Hydrolysis of Epoxy Ethers **1** and **2** at 25° in 10% Aqueous Dioxane (v/v), $\mu = 0.11$ (with NaClO₄)

Substrate	Catalyst	ΔH^\ddagger , kcal mol ⁻¹	ΔS^\ddagger , cal mol ⁻¹ deg ⁻¹
1	H ₃ O ⁺	5.6 ± 0.4	-11 ± 1
1	H ₂ O	6.4 ± 0.2	-49 ± 1
1	H ₂ O ^a	14.9 ± 0.1	-26 ± 1
2	H ₃ O ⁺	5.9 ± 0.2	-15 ± 1
2	H ₂ O	13.6 ± 0.3	-36 ± 1

^a Solvent was 50% aqueous dioxane (v/v).

M NaOH and a rate constant, k_{OH} , of about $2 \times 10^{-2} M^{-1} \text{sec}^{-1}$ ($\pm 30\%$) was obtained. These results are given in Table III. The accuracy of these experiments

Table III. Kinetics of Hydrolysis of **1** in Sodium Hydroxide Solutions (10% Aqueous Dioxane) at 25°

[NaOH], M	$10^3 k_{\text{obsd}}$, sec ⁻¹	$(k_{\text{obsd}}^a - k_{H_2O})/[OH]$, M ⁻¹ sec ⁻¹
0.0100	1.84 ± 0.04	
0.0250	2.14 ± 0.04	
0.0625	3.29 ± 0.20	1.8×10^{-2}
0.104	4.18 ± 0.02	2.0×10^{-2}
0.174	4.31 ± 0.06	1.2×10^{-2}

^a $k_{H_2O} = 2.15 \times 10^{-3} \text{sec}^{-1}$.

was limited because of time-dependent infinity readings, possibly the result of a subsequent Cannizzaro reaction of the aldehyde product.

Discussion

The normal A1 mechanism of acetal hydrolysis is generally characterized by specific hydronium ion catalysis, solvent deuterium isotope effects, k_D/k_H , of greater than 2.5, and entropies of activation around zero or positive.⁴ The kinetic parameters for epoxy ether hydrolysis are clearly different from those expected for an A1 mechanism.

The role of basicity in determining mechanisms of acetal hydrolysis has been pointed out by Bunton and DeWolfe.¹⁴ It has been shown that oxiranes and aziridines are anomalously weak bases toward the proton.¹⁵ Since the pK_a of ethylenimine¹⁶ is 3 log units less than that of dimethylamine, one might suppose that a similar difference would hold between ethylene oxide and dimethyl ether,¹⁷ putting the pK_a of the conjugate acid of ethylene oxide at about -6. Substituent effects may then be estimated taking ρ^* as -3 and polar substituent constants, σ^* , for OMe, Me (or Et), and C₆H₅ as 0.52, -0.10, and +0.215, respectively.¹⁴ This leads to pK_a values of -7 for **1** and -7.6 for **2**. These may be compared with values calculated as above or obtained by means of hydrogen bond strength determination: 2,2-dimethoxypropane,¹⁸ -5.2; para-substituted benzaldehyde diethyl acetals,¹⁸ -5.7; methyl orthoacetate,¹⁸ -6.5; and ethyl orthobenzoate,¹⁴ -7.6.

(14) C. A. Bunton and R. H. DeWolfe, *J. Org. Chem.*, **30**, 1371 (1965).

(15) M. Brandon, M. Tamres, and S. Searles, Jr., *J. Amer. Chem. Soc.*, **82**, 2932 (1960), and references cited therein.

(16) S. Searles, M. Tamres, F. Block, and L. A. Quarterman, *ibid.*, **78**, 4917 (1956).

(17) E. M. Arnett, *Progr. Phys. Org. Chem.*, **1**, 223 (1963).

(18) T. Pletcher and E. H. Cordes, *J. Org. Chem.*, **32**, 2294 (1967).

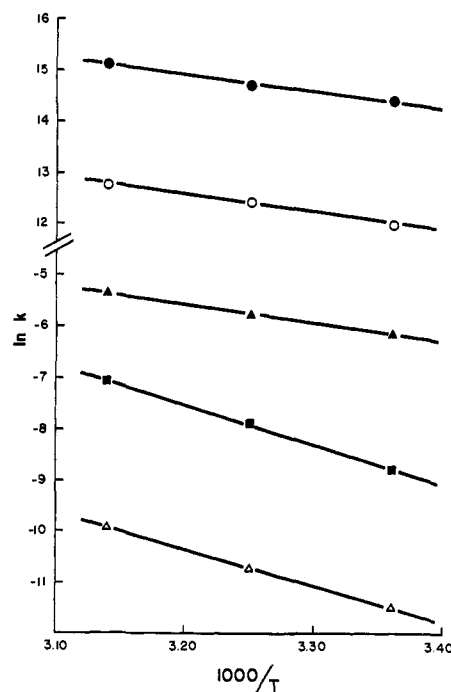


Figure 3. Arrhenius plots for the hydrolysis of **1** catalyzed by H₃O⁺ (●) and by H₂O (▲) in 10% aqueous dioxane and by H₂O (■) in 50% aqueous dioxane. Also shown are the corresponding lines for the hydrolysis of **2** catalyzed by H₃O⁺ (○) and by H₂O (△).

The overall rate constant k_r for an A1 mechanism is given by k_2/K_a where $K_a = k_{-1}/k_1$. Given that K_a is $10^7 M$ and k_r , $1.6 \times 10^6 M^{-1} \text{sec}^{-1}$, a value of $>10^{13} \text{sec}^{-1}$ for k_2 , formation of the carboxonium ion intermediate, is obtained. Since this is greater than the diffusion-controlled limit for the reverse of the protonation step, a simple A1 mechanism is eliminated as a possibility, thus strengthening the conclusion reached on the basis of entropies and solvent deuterium isotope effects.

A second structural factor, facilitation of C-O bond breaking, is manifested in relief of bond angle strain upon ring cleavage. The strain energy of the oxirane ring has been put at 21.5¹⁹ and 28²⁰ kcal mol⁻¹.

The rate constant, k_H , for the hydrolysis of **1** is some 6-8 powers of 10 greater than those for similarly substituted open-chain acetals.²¹ This rate enhancement must be due primarily to destabilization of the ground state relative to the partially ring-opened transition state.

These two factors, bond angle strain and, to a lesser degree, basicity, alter the energy profile for epoxy ether hydrolysis relative to acetal hydrolysis as diagrammed schematically in Figure 4. Whereas proton transfer is complete and carboxonium ion formation is rate determining in the case of acetal hydrolysis,⁴ the destabilization of ground state (E) relative to carboxonium ion (R⁺) and of protonated ground state (EH⁺) relative to E can be expected to cause the transition state in epoxy ether hydrolysis to be more reactant-like.

The solvent deuterium isotope effect, k_D/k_H , of 2.02 may be compared with a mean value of 2.8 for simple

(19) R. Ketcham and V. P. Shah, *J. Chem. Eng. Data*, **11**, 106 (1966).

(20) J. D. Cox, *Tetrahedron*, **19**, 1175 (1963).

(21) The specific 25° rate constant k_H , for hydrolysis of Et₂C(OH)-CH(OMe)₂, is estimated³ to be $0.01 M^{-1} \text{sec}^{-1}$.

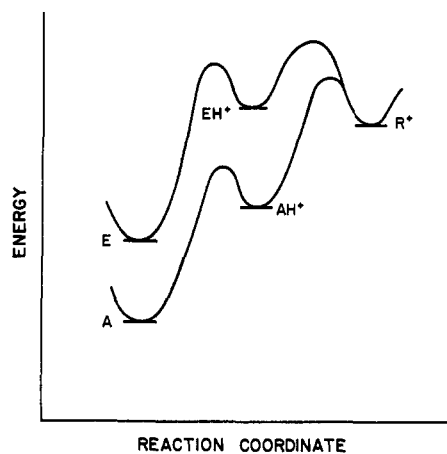


Figure 4. Probable reaction coordinate diagram for hydrolysis of an epoxy ether (E) such as **1** and an acetal (A) such as $\text{Et}_2\text{C}(\text{OH})\text{CH}(\text{OMe})_2$, both giving rise to the same carboxonium ion intermediate (R^+). EH^+ and AH^+ represent the respective acids.

acetals,⁴ suggesting that proton transfer is well advanced but not complete in the transition state for the hydrolysis of **1**. The fact that the phenyl-substituted epoxy ether **2** reacts slower suggests that C–O bond breaking lags well behind O–H bond making in the transition state.⁵

The mechanism of the hydronium ion catalyzed reaction therefore appears to involve partially rate-determining proton transfer concerted with but running ahead of C–O bond cleavage. The possibility that reaction is further assisted by water acting as a nucleophile cannot be excluded. The negative entropies of activation are consistent with a bimolecular rate-determining step.

The pH-independent reaction features a large negative entropy of activation and a solvent deuterium isotope effect, $k_{\text{H}_2\text{O}}/k_{\text{D}_2\text{O}}$, of about 0.5. Fife²² and Salomaa²³ have discovered several examples of pH-independent acetal hydrolysis, all of which are characterized by entropies of activation which are close to zero and solvent deuterium isotope effects around unity. These have been considered to be unimolecular decompositions. The pH-independent hydrolyses of **1** and **2** are evidently bimolecular.

Table IV compares entropies of activation, solvent

Table IV. Comparative 25° Kinetic Parameters for Selected Spontaneous Hydrolyses

Reactant	ΔS^\ddagger , eu	$k_{\text{H}_2\text{O}}/k_{\text{D}_2\text{O}}$	$k_{\text{OH}^-}/k_{\text{H}_2\text{O}}$, M^{-1}
1. Ethylene oxide	–24 ^a	1.1 ^b	160 ^c
2. Acetic anhydride	–35 ^c	2.9 ^d	
3. 1 ^e	–49 (–26 ^f)	1.9	11
4. 2 ^e	–36	2.8	
5. $\text{Et}_2\text{C}(\text{OH})\text{CH}(\text{OCH}_3)_2$ ^g	–31	1.7	10 ^h

^a Reference 26. ^b Reference 24. ^c A. R. Butler and V. Gold, *J. Chem. Soc.*, 2305 (1961). ^d C. A. Bunton, N. A. Fuller, S. G. Perry, and V. J. Shiner, *ibid.*, 2918 (1963). ^e This work. ^f 50% aqueous dioxane (v/v). ^g Reference 3.

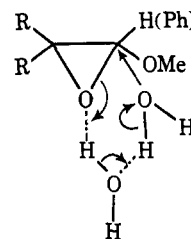
deuterium isotope effects, and $k_{\text{OH}^-}/k_{\text{H}_2\text{O}}$ ratios for the “spontaneous” hydrolyses of a number of oxygenated

- (22) T. H. Fife and L. H. Brod, *J. Amer. Chem. Soc.*, **92**, 1681 (1970)
 (23) P. Salomaa, *Suom. Kemistilehti B*, **37**, 86 (1964).

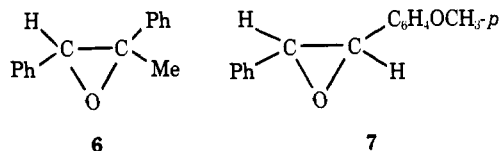
substrates. The reactions selected are all presumed to be of the bimolecular type with water acting as a nucleophile and/or proton transfer agent. The pH-independent hydrolysis of ethylene oxide, a good model for simple $\text{S}_{\text{N}}2$ displacement, is only 10% faster in H_2O than in D_2O .²⁴ Thus, the likelihood that **1** and **2** hydrolyze by the same pathway is remote. Ethylene oxide is also 15 times more sensitive to attack by OH^- than **1**.^{25,26} The relative insensitivity of **1** to hydroxide ion catalysis suggests that nucleophile–substrate interaction is quite weak in the transition state. This accounts for our inability to detect nucleophilic catalysis of the hydrolysis of **1** in the presence of 0.06 *M* NaI (see Table I).

The pH-independent reactions of **1** and **2** seem rather better placed among a class exemplified by the hydrolysis of acetic anhydride. These reactions evidently involve a large number of solvent molecules with proton transfer occurring in the rate-determining step.

Fife and Brod have noted²² that the unimolecular mechanism of pH-independent acetal hydrolysis appears to require either the combination of a good leaving group and a reasonably stable carbonium ion or an exceptionally stable carbonium ion. Thus, it is somewhat surprising to find that both **1** and **2** eschew the unimolecular in favor of a complex bimolecular process. It is possible however that the geometry of the three-membered ring facilitates unhindered front-side attack by a water molecule(s) hydrogen bonded to ring oxygen. There is precedent for front-side attack



in that a number of di- and trisubstituted oxiranes such as **6** and **7** are known to react with nucleophiles to give the products of retained configuration.²⁷



The bimolecular mechanism may also be favored due to activation toward nucleophilic attack by the methoxyl group.²⁸ However, the exact nature of this effect remains somewhat obscure.

Experimental Section

Materials. The substrates **1** and **2** were prepared as previously described.³ The buffer amines, triethanolamine, methyldiethanol-

(24) J. G. Pritchard and F. A. Long, *J. Amer. Chem. Soc.*, **78**, 6008 (1956).

(25) This calculation takes $k_{\text{OH}^-}/k_{\text{H}_2\text{O}}$ as the measure of sensitivity.

(26) P. O. I. Virtanen, *Ann. Acad. Sci. Fenn. Ser. A2*, No. 124, 1 (1963).

(27) R. E. Parker and N. S. Isaacs, *Chem. Rev.*, **59**, 737 (1959).

(28) The activating effect of an α -methoxyl group in bimolecular nucleophilic displacement reactions is of the order of 10^3 – 10^5 ; see A. Streitwieser, “Solvolytic Displacement Reactions,” McGraw-Hill, New York, N. Y., 1962, p 26.

amine, and 2,2,6,6-tetramethyl-4-piperidinol, were purchased from Aldrich Chemical Co. and used as received. All buffer solutions were 10% aqueous dioxane (v/v); an ionic strength of 0.11 was maintained with NaClO₄. Deuterium oxide (99.8%) was purchased from Columbia Organic Chemicals. The pK_a of 2,2,6,6-tetramethyl-4-piperidinol was estimated to be 10.0 from the pH of a 1:1 buffer solution in 10% dioxane ($\mu = 0.11$).

Product Analysis. I. Methanolysis. To 50 ml of absolute methanol into which HCl gas had been bubbled was slowly added 5.00 g (0.038 mol) of **1** at ice-bath temperature. The solution was allowed to warm to 30°, neutralized with dilute aqueous NaOH, and extracted with four 40-ml portions of ether, and the combined ethereal extracts were dried over MgSO₄. Removal of ether under reduced pressure left a residue (4.51 g) which was distilled to yield 2.42 g of 2-ethyl-2-hydroxybutanal dimethylacetal: bp 64–65° (8 Torr); n_D^{25} 1.4214; d_4^{25} 1.0 (t, 6 H), 1.7 (q, 4 H), 2.5 (br s, -OH), 4.1 (s, 6 H), 4.8 (s, 1 H).

Anal. Calcd for C₈H₁₈O₃: C, 59.2; H, 11.2. Found: C, 59.1; H, 11.1.

II. Hydrolysis. The hydrolysis product of **1** at pH 10 was determined to be 2-ethyl-2-hydroxybutanal as previously described³ for hydrolysis at pH 4. A 60% yield of material shown by gc to be 95% pure aldehyde was obtained.

Kinetic Procedures. These have been described.³ Rate measurements at 25, 35, and 45° ($\pm 0.1^\circ$) were used to calculate activation parameters. Kinetic runs in all buffer solutions were strictly first order to 60–75% completion.

Acknowledgments. We gratefully acknowledge support of this work by the National Science Foundation through Grants GP-7392 and GP-14693. We thank the University of Hawaii Computer Center for the donation of computer time. We are indebted to Sherwin Amimoto for assistance with computer programs. Dr. Michael A. Porzio first synthesized the epoxy ethers used in this work and carried out the methanolysis product analysis.

Structural Effects in Solvolytic Reactions. V. Rates and Products in the Acetolysis of Substituted *cis*-2-Phenylcyclopentyl Tosylates and Deuterium Tagged Derivatives. Nature of the Reaction Pathway in the Absence of Aryl Participation¹

C. J. Kim² and Herbert C. Brown

*Contribution from the Richard B. Wetherill Laboratory,
Purdue University, Lafayette, Indiana 47907. Received September 29, 1971*

Abstract: A series of substituted *cis*-2-phenylcyclopentyl tosylates was prepared and the rates and the products of acetolysis were studied. The rate data reveal the absence of aryl participation, and the product data show no evidence for the incursion of aryl-bridged species as intermediates. Thus, the aryl-assisted pathway is effectively absent in the present system. This provides us with a representative secondary β -arylalkyl system in which the solvolysis proceeds *via* aryl-unassisted pathways only. A study of the deuterium tagged derivatives, *cis*-2-phenylcyclopentyl-*1-d*₁ tosylate and the 2-*d*₁ isomer, revealed that a predominant portion of the product arises from a rearranged tertiary aryl cation. This indicates that the solvolysis pathway in the present system is characterized largely by a process involving hydride shift. However, the rates of solvolysis reveal very little effect of increasing stability of the tertiary benzylic cation arising from the effect of activating substituents in the aromatic ring. This suggests that the first intermediate cannot be the tertiary cation. Consequently, the solvolysis is interpreted in terms of a mechanism involving the formation of a tight ion pair as the first intermediate.

The acetolysis or formolysis of secondary alkyl arenesulfonates was originally suggested by Winstein and his coworkers to be nearly limiting.^{3,4} This position, which postulates the rate-determining formation of carbonium ion intermediates with little nucleophilic solvent participation, has received general acceptance.^{6–12}

(1) For a preliminary report, see C. J. Kim and H. C. Brown, *J. Amer. Chem. Soc.*, **91**, 4286 (1969).

(2) Postdoctoral research associate, 1968–1970, on a grant (GP 6492 X) supported by the National Science Foundation.

(3) Although the classic Ingold's scheme defines the solvolysis of secondary derivatives as borderline,⁸ it was suggested⁴ that a secondary system involving a tosyloxy group, a far better leaving group than halogen, and a solvent of low nucleophilicity, such as acetic acid or formic acid, would approach a limiting mechanism in character.

(4) (a) S. Winstein and E. Grunwald, *J. Amer. Chem. Soc.*, **70**, 828, 846 (1948); (b) S. Winstein, E. Grunwald, and H. W. Jones, *ibid.*, **73**, 2700 (1951); (c) S. Winstein, B. K. Morse, E. Grunwald, K. C. Schreiber, and J. Corse, *ibid.*, **74**, 1113 (1952); (d) S. Winstein and N. J. Holness, *ibid.*, **77**, 5562 (1955).

(5) C. K. Ingold, "Structure and Mechanism in Organic Chemistry," Cornell University Press, Ithaca, N. Y., 1953.

(6) (a) A. Streitwieser, Jr., "Solvolytic Displacement Reactions," McGraw-Hill, New York, N. Y., 1962; (b) A. Streitwieser, Jr., R. H.

In line with this interpretation, the phenyl-unassisted process in the acetolysis or formolysis of secondary β -phenylalkyl arenesulfonates was first characterized by Cram with such terms as SN1 and E1.¹³ At the same time, however, the term "solvent participation in the ionization step," has also been used to describe the ionization process to "solvated" open ions,¹⁴ a process

Jagow, R. C. Fahey, and S. Suzuki, *J. Amer. Chem. Soc.*, **80**, 2326 (1958).

(7) E. M. Kosower, "Physical Organic Chemistry," Wiley, New York, N. Y., 1968, p 105.

(8) M. C. Whiting, *et al.*, *J. Chem. Soc. B*, 355, 365 (1968).

(9) P. v. R. Schleyer, *J. Amer. Chem. Soc.*, **86**, 1854, 1856 (1964); C. S. Foote, *ibid.*, **86**, 1853 (1966).

(10) H. C. Brown and G. Ham, *ibid.*, **78**, 2735 (1956).

(11) W. Pritzkow and K. H. Schöppler, *Chem. Ber.*, **95**, 834 (1962).

(12) See also: (a) J. D. Roberts, W. Bennett, R. E. McMahon, and E. W. Holroyd, Jr., *J. Amer. Chem. Soc.*, **74**, 4283 (1952); (b) E. S. Lewis and C. E. Boozer, *ibid.*, **76**, 791 (1954); (c) J. O. Stoffer and J. D. Christen, *ibid.*, **92**, 3190 (1970).

(13) (a) D. J. Cram, *ibid.*, **74**, 2129 (1952); (b) *ibid.*, **74**, 2137 (1952); (c) *ibid.*, **74**, 2159 (1952); (d) D. J. Cram and F. A. Abd Elhafez, *ibid.*, **75**, 3189 (1953).

(14) Such species were usually depicted with structures which have dotted line(s) between the carbonium center and solvent molecule(s).^{16, 17}